

## Strengthening the regulation and supervision of the non-bank financial market

**Period of implementation:** 17.11.2017 - 16.01.2023

**EaP countries:**  
Ukraine

**EU contribution:** € 4 528 000

**Implementing organisation(s):**  
DAI Global Austria GmbH & Co KG



**Social media account links:**  
[linkedin.com/company/dai/](https://www.linkedin.com/company/dai/)

**Project website:** [dai.com/](https://dai.com/)

### Project description:

EU-FINREG project aims to assist the Ukrainian authorities in strengthening the regulatory framework and supervision practices for securities and investment business, insurance, pensions, non-bank credit institutions, non-bank payment institutions and other non-bank financial services. This will support Ukraine in building a sound regulatory environment for non-bank financial services, which will ensure fair and efficient markets, protect consumers and promote market development, thereby contributing to sustained economic growth.

### Expected results:

The main required results to attain the Project objectives are as follows.

Result 1. A legal framework that approximates to EU standards and best practices in a broad range of financial services, including securities and investment business, fund management, insurance and non-state pension funds provision, leasing and factoring services, and non-bank credit institutions

- Delivered through Component 1: Insurance
- Delivered through Component 2: Investment Funds / collective investment schemes
- Delivered through Component 3: Securities issuance: transparency and disclosure
- Delivered through Component 4: Securities and investment firms: capital requirements
- Delivered through Component 5: Non-state pension funds
- Delivered through Component 6: Non-bank credit institutions, including credit unions
- Delivered through Component 7: Non-bank payment systems and institutions
- Delivered through Component 8: Other non-bank financial services, incl. leasing and factoring
- Delivered through Component 9: Regulatory powers and enforcement capabilities